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UTILITY PATENT APPLICATION **TRANSMITTAL**

| Attorne | y Docket No. | 16807001530 | |
|----------|--------------|-------------|------------------------------|
| Fırst in | ventor | | BEHL, ROBERT, et al |
| Title | APPARATUS | | FOR TREATING TUMORS NEAR THE |

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. | EL525752676US

| See MPED | APPLICATION E | | ADDF | RESS TO | Box Patent | | u.s | | |
|---|---|---|---|---|---------------------|----------------------|----------------|--|--|
| 1. | Fee Transmittal Form (e.g., PT Submit an original and a duplicate Applicant claims small entity stage 37 CFR 1.27. Specification preferred arrangement set forth be Descriptive title of the Invention Cross References to Related Appl Statement Regarding Fed sponso Reference to sequence listing, a transport of the Invention Cross Reference to sequence listing, a transport of the Invention Cross Reference to sequence listing, a transport of the Inventor of the | O/SB/17) for fee processing) atus. (Total Pages | 8. Nuc (<i>if a_l</i> a b. Sp i. [| Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. | | | | | |
| l | a computer program listing appen- | dix | ļ | ACCOM | PANYING APPI | LICATIONS PARTS | | | |
| - | Background of the Invention Brief Summary of the Invention Brief Description of the Drawings Detailed Description Claim(s) Abstract of the Disclosure | (if filed) | 9, 🛛 sheet & | document(s | | | 1 | | |
| | | F | ł | (WHEH DIE | re is all assigne | Attorney | | | |
| 4. 🛛 D | rawing(s) (35 U.S.C.113) | [Total Sheets 8 | 11. | English T | ranslation Docu | ment (if applicable) | | | |
| 5. Oath or | Declaration | [Total Pages | 12. | Informatio | n Disclosure | Copies of I | DS | | |
| | Newly executed (original or o | • • • | '~ | | t (IDS)/PTO-14 | | | | |
| b. 🛛 | Copy from a prior application | | 13. 🔯 | Prelimina | ry Amendment | | | | |
| | (for a continuation/divisional | | 14. 🛛 | | | | | | |
| i <u>L</u> | JELETION OF INVENTO Signed statement attached delet | | 1,50 | (Should be specifically itemized) | | | | | |
| | named in the prior application, se | | 15. 🗀 | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | |
| c NZI 4 | 1.63(d)(2) and 1.33(b) | 3ED 4 70 | 16. 🔲 | 16. Request and Certification under 35 U.S.C. | | | | | |
| 6. ⊠ Apr | Application Data Sheet. See 37 CFR 1.76 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. Other: | | | | | | | | |
| 49 If a CON | ITINUING APPLICATION, check a | appropriate how and cup | alu tha raquia | ito informatio | n holow and in a | nrollminan amandman | | | |
| | olication Data Sheet under 37 Cl | | ny ine requis | ne mormano | in below and in a | premimary amendmen | L ₂ | | |
| ☐ Conti | | ☐ Continuation-in-pa | art (CIP) | of p | rior application No | 09/354,379 | | | |
| | Prior application information: Examiner KEARNEY, R Group Art Unit. 3739 | | | | | | | | |
| under Box 5 | For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | | |
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| Country | | Telephone | | | Fax | | | | |
| Name (Pr | int/Type) James M. He | eslin | Registratio | Registration No. (Attorney/Agent) 29,541 | | | | | |
| Signature | | | | Date April 11, 2001 | | | | | |

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|---|----------------------|-----------------------|---|--|--|--|
| FEE TRANSMITTAL | Application Number | Unassigned | | | | |
| for FY 2001 | Filing Date | Herewith | | | | |
| | First Named Inventor | BEHL, ROBERT, et. al. | _ | | | |
| Patent fees are subject to annual revision. | Examiner Name | | _ | | | |
| | Group Art Unit | | | | | |
| OTAL AMOUNT OF PAYMENT (\$) 726 | Attorney Docket No | 16807001530 | J | | | |

| | | | | OF PAYMENT | | | | | | FEE C | ALCULATION (continued) | |
|-----------------------|--|--------------|---------------|---------------------------------------|--|-----|----------------------|-----------------------|----------------------|-----------------------|--|-------------|
| 1. | | | | | authorized to charge over payments to | | l | ITIONAL | | | | |
| Depo Accor | | | 1430 | | | | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
| Numb | | 20- | 1430 | | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| Depo | | | | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Acco | unt | Town | send and | d Townsend and | Crew LLP | | 139 | 130 | 139 | 130 | Non-English specification | |
| Name | | L | | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| \ | Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | | | | | | | | | |
| | Applicant of See 37 Cl | FR 1.27 | | y status | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 2. | Paymer | nt Enclo | sed: | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| | Check | ☐ Cre | edit card | ☐ Money Order | ☐ Other | | 116 | 390 | 216 | 195 | Extension for reply within second month | |
| | | | | | | | 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 1. B | BASIC FIL | LING FE | | ALCULATION | | | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| Large | Entity 5 | | Entity | | | | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| Fee | | | | Fee Description | | | 119 | 310 | 219 | 155 | Notice of Appeal | |
| Code | * * * * | | (\$) | | Fee Paid | , 1 | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | <u> </u> |
| 101 | | | | Utility filing fee | 355 | 1 | 121 | 270 | 221 | 135 | Request for oral hearing | |
| 106 107 | | | | Design filing fee Plant filing fee | | - | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 108 | 710 2 | 208 | 355 | Reissue filing fer | e | 1 | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 114 | 150 2 | 214 | 75 | Provisional filing | , fee |] | 141 | 1,240 | 241 | 620 | Petition to revive unintentional | |
| | | | | | (4) 6 7 7 | . | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| i | | ა | IBTOTAL | ⊥ (1) | (\$)355 | ا ا | 143 | 440 | 243 | 220 | Design issue fee | |
| 2. EXTF | RA CLAIN | 4 FEES | | | | _ | 144 | 600 | 244 | 300 | Plant issue fee | |
| - | | •• | | | e from Fee | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | [· |
| Total Claim | ns 39 | -20** | | | elow Paid \$9 = \$171 | J | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| Independeni Claims | nt 8 | -3** | = | 5 X \$ | \$40 = \$200 | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent | | | _ | × | = | j | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Large Fee | Entity Fee | Small Fee | Entity Fee | | | | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Code | (\$) | Code | (\$) | Fee Descript | | ļ | 149 | 710 | 249 | 355 | For each additional invention to be | |
| 103 | 18 | 203 | 9 | Claims in exc | | | i | | | | examined (37 CFR § 1.129(b)) | |
| 102 | 80 | 202 | 40 | • | claims in excess of 3 |] | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | 1 |
| 104 | 270 | 204 | 135 | | endent claim, if not paid | | 169 | 900 | 169 | 900 | Request for expedited examination | |
| 109 | 80 | 209 | 40 | original paten | | | | | | 900 | of a design application | |
| 110 | 18 | 210 | 9 | ** Reissue cla over original p | aims in excess of 20 and patent | ' | | e (specify | • • | | 11. 1 | |
| | | | SI | UBTOTAL (2) | (\$)371 | | | mmissior ve noted | | | d to charge any additional fees to int. | |
| **00 0000 | har province | usly poid | of arostor | For Roleeline so | an above | | *Reduce | ed by Bas | sıc Filing |) Fee Pa | aid SUBTOTAL (3) (\$) | |

| SUBMITTED BY Complete (If applicable) | | | | | | | | | | |
|---------------------------------------|-----------------|-----------------------------------|--------|-----------|----------------|--|--|--|--|--|
| Name (Print/Type) | James M. Heslin | Registration No. (Attorney/Agent) | 29,541 | Telephone | 650-326-2400 | | | | | |
| Signature | A | | | Date | April 11, 2001 | | | | | |

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